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a mid Plant		Application Number	10/762036-Conf. #3938
TRANSMITTAL	1	Filing Date	January 21, 2004
FORM		First Named Inventor	Stephen J. Todd, et al.
(to be used for all correspondence after initial	filing)	Art Unit	2165
		Examiner Name	Etienne Pierre Leroux
Total Number of Pages in This Submission	19	Attorney Docket Number	E0295.70201US00

ENCLOSURES (Check all that apply)							
Fee Transi	mittal Form	Drawing(s)		After Allowance Communication to TC			
Fee	Attached	Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences			
X Amendme	nt/Reply	Petition		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)			
After	Final	Petition to Convert to a Provisional Application		Proprietary Information			
Affid	avits/declaration(s)	Power of Attorney, Revocate Change of Correspondence		Status Letter			
Extension	of Time Request	Terminal Disclaimer		X Other Enclosure(s) (please Identify below):			
Express Al	bandonment Request	Request for Refund		Return Receipt Postcard			
Information	n Disclosure Statement	CD, Number of CD(s)					
Certified C Document(opy of Priority (s)	Landscape Table or	CD				
	lissing Parts/ Application	Remarks	<u> </u>	,			
	y to Missing Parts under FR 1.52 or 1.53						
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT							
Firm Name WOLF, GREENFIELD & SACKS, P.C.							
Signature Add Ad							
Printed name	me Richard F. Giunta						
Date	October <u>i</u> 8 , 2006		Reg. No.	36,149			

Certi I hereby certify that this paper (along with any paper refi the date shown below with sufficient postage as First CI Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Dated: October 19. 2006	g attached or e	enclosed) is bei	



Docket No.: E0295.70201US00

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Stephen J. Todd et al.

Serial No.:

10/762,036

Confirmation No.:

3938

Filed:

January 21, 2004

For:

METHODS AND APPARATUS FOR INDIRECTLY IDENTIFYING

A RETENTION PERIOD FOR DATA IN A STORAGE SYSTEM

Examiner:

Etienne Pierre Leroux

Art Unit:

2161

Certificate of Mailing Under 37 CFR 1.8(a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: October (8, 2006)

ichard F. Giunta, Registration No. 36,149

AMENDMENT IN RESPONSE TO NON-FINAL OFFICE ACTION

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

INTRODUCTORY COMMENTS

In response to the Office Action dated July 18, 2006, please amend the above-identified U.S. patent application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 14 of this paper.